

JAMES M. TAM
Vice President

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Compass Lexecon
55 South Lake Avenue, Suite 650
Pasadena, CA 91101
jtam@compasslexecon.com

Tel: +1-213.416.9934
Fax: +1-213.416.9945

EDUCATION

- June 2013 M.B.A., University of California, Los Angeles – Anderson School of Business, *honors distinction*.
- June 2003 B.A., Business Economics (minor in Accounting and Specialization in Computing), University of California, Los Angeles, *honors distinction*.
- Sep. 2001 Hong Kong University of Science and Technology (HKUST), Study Abroad Program

PROFESSIONAL EXPERIENCE

Dec. 2011 – **Compass Lexecon** **Pasadena, California**
Present *Vice President*

Support testifying experts and provide business consulting expertise in accounting, auctions, corporate governance, derivatives and structured finance, due diligence, finance, securities and financial markets, and valuation. Train and hire staff.

Aug. 2011 – **Kaiser Permanente** **Burbank, California**
Dec. 2011 *Strategic Marketing Consultant*

Apply advanced finance, economics and statistics to determine strategic directions for marketing campaigns. Conduct A/B testing and analyze consumer behavior.

Aug. 2007 – **Charles River Associates**
Aug. 2011 *Consulting Associate*

Pasadena, California

Support testifying experts and provide business consulting expertise in accounting, antitrust and competition, auctions, corporate governance, derivatives and structured finance, due diligence, employment, finance, securities and financial markets, and valuation. Train and hire staff.

FIELDS OF SPECIALIZATION

Accounting Litigation Services
Antitrust & Competition
Auctions
Employment
Entertainment & Media
Bankruptcy & Financial Distress Litigation
Damages
Derivatives & Structured Finance
Intellectual Property
Securities & Financial Markets
Telecommunications
Valuation & Financial Analysis

SKILLS

Languages: English (Fluent) and Chinese (conversational cantonese, toison)
Technical: Programming (C++, HTML, Java, Perl, SAS, SQL, STATA, VBA)
Databases (Bloomberg, Capital IQ, CRSP, Thomson)

SELECTED CONSULTING EXPERIENCE

Lawrence E. Jaffe Pension Plan v. Household International. Analyzed the materiality of alleged misstatements and omissions and quantified artificial inflation in a federal securities class action. In May 2009, a federal court jury delivered a verdict in favor of a class of investors. In October 2013, a \$2.46 billion judgment was entered against Household International and three officer defendants.

Tinicum Capital Partners II, L.P., et al. v. Liberman Broadcasting, Inc., et. al. Analyzed Liberman Broadcasting's ability to meet its financial obligations under various possible outcomes related to the FCC's auction of broadcast spectrum rights. Also rebutted related opinions set forth by experts for the defendant

Starr International Co., Inc. v. The United States of America. Analyzed Plaintiffs \$40 billion damages claim relating to the U.S. Government's financial rescue of American International Group, Inc. Following trial, in June 2015, the Court of Federal Claims found for plaintiffs on their illegal exaction claim, but concluded that there were \$0 damages.

Johnson & Johnson v. Guidant. Respond to opinions proffered by Johnson & Johnson's damages expert in a matter in which Guidant allegedly breached its merger agreement with Johnson & Johnson by misusing confidential information in accepting a higher bid from Boston Scientific and selling off certain divisions to Abbott Laboratories.

In re AutoHop Litigation and Fox Broadcasting Company, et al. v. DISH Network, LLC, et al. In two separate, but related cases on behalf of CBS and FOX, analyzed current and potential harm related to the value of copyrighted content and advertising business models resulting from DISH's provision of several Disputed Services as part of its Hopper DVR. These services included the automatic recording of the networks' primetime programs and replay via an "on demand" like interface (PTAT), the ability to playback these recordings and automatically skip all commercials (AutoHop), the ability to replay recorded programs or stream live programming to a computer (Sling), and the ability to download recordings from the Hopper DVR to other devices (Hopper Transfers).

In Re: Activision Blizzard, Inc. Stockholder Litigation. On behalf of Activision's CEO Robert A. Kotick & Activision's Co-Chairman Brian Kelly, evaluated and rebut plaintiff economic analysis as it relates to alleged capital structure related damages in a complex share repurchase transaction dispute.

Tiffany & Co. v. Costco Wholesale Corp. Analyze damages associated with Costco's alleged infringement of Tiffany trademarks with regard to the sale of diamond engagement rings.

Deutsche Bank AG v. Deloitte & Touche, LLP & Ocala Funding, LLC v. Deloitte & Touche, LLP. Evaluate and opine on damages related to the failure of Taylor, Bean & Whitaker's asset-backed commercial paper conduit, Ocala Funding.

Strategic Advisory, Inc. v. Thomas Properties Group, Inc. On behalf of Thomas Properties, evaluate the appropriateness of a benchmark put forth by Strategic Advisory, as the basis for incentive compensation calculations.

Georgia-Pacific Consumer Products LP, et al. v. NCR Corporation, et al. On behalf of NCR, determine whether paper trimmings, which resulted from the manufacture of carbon-coated forms, were valuable byproducts or waste.

Southeastern Pennsylvania Transportation Authority v. Ernst Volgenau, et al. Assess the value of the SRA International at the date it reached an agreement to be acquired by Providence Equity Partners, a private equity fund.

FDIC v. Van Dellen et al. Analyze whether a future crash in the housing market was reasonably foreseeable from the perspective of the time between January 2005 and December 2006.

Department of Justice v. Transocean. Analyze financial capability of Transocean to pay potential fines related to the Deepwater Horizon/Macondo oil spill.

TCW v. Gundlach et al. Estimate economic harm to TCW caused by a portfolio manager's breach of fiduciary duty, theft of trade secrets, and tortious interference with contractual relations.

R. David Howe v. The Bank of New York Mellon, etc., et al. Evaluate alleged damages associated with transactions in the underlying collateral by a Collateralized Debt Obligation Trust.

The Sentinel Liquidation Trustee v. The Bank of New York Mellon. Determine the cause of Sentinel's bankruptcy in August 2007 and to estimate the damages, if any, due to the loans provided by BNYM to Sentinel.

eToys IPO Litigation. Estimate damages, if any, results from the alleged failure of Goldman Sachs to properly price the eToys IPO.

IPO Securities Litigation. Provide detailed economic consulting on the IPO process, the valuation of securities at IPO, and possible impact of alleged "tie-in" agreements on the pricing of IPOs for the purpose of analyzing class certification and damages.

Echostar v. NDS. Estimate damages associated with an alleged compromise of the security system used by Echostar to protect program transmissions on the Dish Network.

Merck Securities Litigation. Analyze the extent to which the market was aware of the controversies regarding the possible side effects of the drug, Vioxx.

Darlene Investments LLC v. The DirecTV Group. Determine the value of DirecTV Latin America and evaluate the fairness of the merger of DirecTV Latin America and Sky Latin America to Darlene.

MAN AG v. Freightliner LLC. Estimate the fair market value of two truck manufacturing businesses involved in an alleged fraudulent conveyance by subsidiaries of DaimlerChrysler. This matter involved an attempt by DaimlerChrysler to avoid a multimillion pound interim judgment won by MAN in a previous litigation. An Oregon state court jury found in favor of MAN and awarded at least \$838 million for compensatory and punitive damages.

Bristol-Myers Securities Litigation. Estimate damages associated with alleged misstatements regarding the drug Vanlev.